All-Electron Full-Potential Calculations at $\mathcal{O}(\mathsf{ASA})$ Speed

Volker Eyert

Center for Electronic Correlations and Magnetism Institute for Physics, University of Augsburg

September 29, 2009



- Background
- 2 Full-Potential ASW Method
 - Theoretical Methodology
 - Proof of Concept: Results
- Materials Science: Delafossites





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John C. Slater



Full Potential

 $v_{\sigma}(\mathbf{r}): \left\{ egin{array}{ll} ext{spherical symmetric near nuclei} \\ ext{flat outside the atomic cores} \end{array}
ight.$





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Full Potential

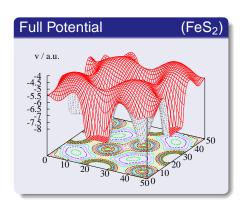
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ight.$

Muffin-Tin Approximation

$$v_{\sigma}^{MT}(\mathbf{r}) = \begin{cases} & ext{spherical symmetric in spheres} \\ & ext{constant in interstitial region} \end{cases}$$













Muffin-Tin Approximation

distinguish:

atomic regions

remainder





Muffin-Tin Approximation

distinguish:

- atomic regions
 - muffin-tin spheres
 - $V_{\text{eff},\sigma}(\mathbf{r}) = V_{\text{eff},\sigma}(|\mathbf{r}|)$
- remainder





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 - interstitial region
 - $v_{eff,\sigma}(\mathbf{r}) = 0$





Partial Waves

- muffin-tin spheres
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Partial Waves

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 - "envelope functions"
 - plane waves
 - spherical waves







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Muffin-Tin Potential







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Basis Functions

- matched partial waves
 - augmented plane waves (APWs)
 - "muffin-tin orbitals" (MTOs), augmented spherical waves (ASWs)





Wave Function

expand in basis functions

 expansion coefficients from variational principle

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Wave Function

expand in basis functions

 expansion coefficients from variational principle

Core States

all-electron methods

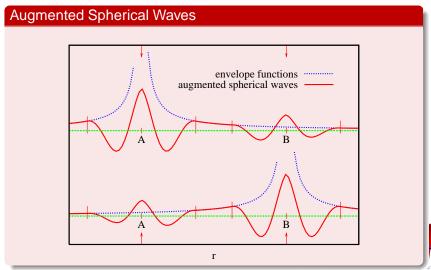
- fully included
- orthogonal to partial waves

Basis Functions

- matched partial waves
 - augmented plane waves (APWs)
 - "muffin-tin orbitals" (MTOs), augmented spherical waves (ASWs)
- used to describe valence states







Ole K. Andersen



"Linear Methods in Band Theory"

- energy dependence of basis functions almost linear \rightarrow linearize $(\varphi, \dot{\varphi})$
 - huge increase in computat. efficiency!





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Linear Augmented Plane Wave (LAPW)

- muffin-tin approximation
- easy to implement

good!

bad

- full-potential at a low price
 - basis functions from muffin-tin potential
 - wave functions from full potential
 - example: Wien2k
- large basis set (≈ 100 pw's/atom)



Ole K. Andersen



Linear Muffin-Tin Orbital (LMTO)

- based on spherical waves
 - does not require crystalline periodicity
 - natural interpretation of results
- difficult to implement

bad!

- full-potential extension extremely difficult
- muffin-tin approximation (?)
 - finite interstitial region
 - large basis set: two functions per s-, p-, d-state
 - still inefficient





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 - make spheres space-filling!
 - interstitial region formally removed
 - only numerical functions in spheres
 - minimal basis set (s, p, d)
 - very high computational efficiency
 - $\rightarrow \mathcal{O}(ASA)$ speed!!!
 - makes potential more realistic
 - systematic error in total energy

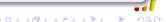


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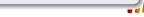
Ole K. Andersen



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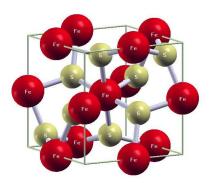
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Iron Pyrite: FeS₂

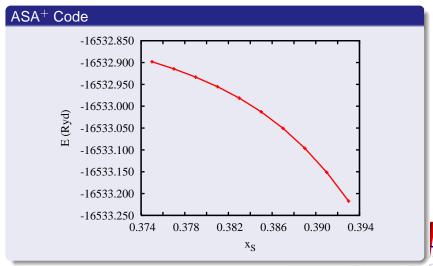


Pyrite

- Pa3̄ (T_h⁶)
- a = 5.4160 Å
- "NaCl structure" sublattices occupied by
 - iron atoms
 - sulfur pairs
- sulfur pairs || (111) axes
- $x_S = 0.38484$
- rotated FeS₆ octahedra



FeS₂: Structure Optimization



Conclusions

- ASA (space-filling atomic spheres)
 - O(ASA) speed
 - systematic error in total energy
- non-overlapping muffin-tin spheres
 - prerequisite for accurate total energies
 - $\bullet \ \ \text{larger basis set} \rightarrow \text{inefficient}$





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 - go beyond constant-potential approximation
- inside muffin-tin spheres
 - non-spherical contributions



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- interstitial quantities expanded in plane waves
 - straightforward to implement
 - inefficient
- interstitial quantities expanded in spherical waves
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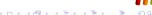


ASW Method

Characteristics

- "dialect" of LMTO
 - different linearization scheme
 - different interstitial energy
 - different implementations





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0th Generation (Williams, Kübler, Gelatt, 1970s)

PRB **19**, 6094 (1979)





ASW Method

Characteristics

- "dialect" of LMTO
 - different linearization scheme
 - different interstitial energy
 - different implementations

1st Generation (VE, 1990s)

IJQC 77, 1007 (2000)

- completely new, monolithic implementation
- new algorithms improved accuracy, numerical stability
- much improved functionality, usability, and portability
- xAnderson convergence acceleration scheme
- all LDA-parametrizations, most GGA-schemes
- still based on atomic-sphere approximation



Wave Function Expanded in Basis Functions

$$\psi_{\sigma}(\mathbf{r}) = \sum_{L\kappa i} c_{L\kappa i\sigma} H^{\infty}_{L\kappa\sigma}(\mathbf{r}_i)$$

 $\longrightarrow c_{L\kappa i\sigma}$ determined variationally



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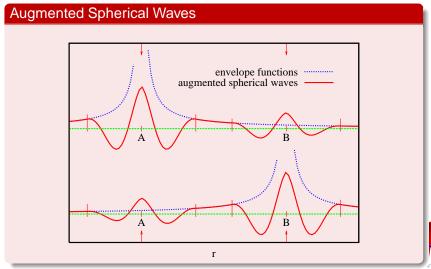
 $\longrightarrow c_{L\kappa i\sigma}$ determined variationally

Augmented Spherical Wave

$$H^{\infty}_{L\kappa\sigma}(\mathbf{r}_i) = \begin{cases} H^{I}_{L\kappa}(\mathbf{r}_i) & \text{interstitial region} \\ \tilde{H}_{L\kappa\sigma}(\mathbf{r}_i) & \text{on-centre sphere } i \\ \sum_{L'j}^{\prime} \tilde{J}_{L'\kappa\sigma}(\mathbf{r}_j) B_{L'L\kappa} & \text{off-centre spheres } j \end{cases}$$

 $B_{L'L\kappa}(\mathbf{R}_j-\mathbf{R}_i)$: structure constants ASW classified by atomic site \mathbf{R}_i , L=(I,m), decay κ , spin σ





Envelope Functions

$$H_{L\kappa}^{I}(\mathbf{r}_{i}) := i\kappa^{I+1}h_{I}^{(1)}(\kappa r_{i})Y_{L}(\hat{\mathbf{r}}_{i})$$

 $h_I^{(1)}(\kappa r_i)$: spherical Hankel function



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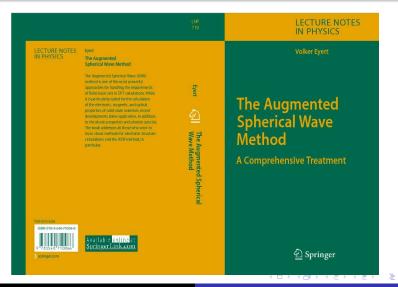
Augmented Functions

$$\tilde{H}_{L\kappa\sigma}(\mathbf{r}_i) := \tilde{h}_{l\kappa\sigma}(r_i) Y_L(\hat{\mathbf{r}}_i)
\tilde{J}_{L'\kappa\sigma}(\mathbf{r}_j) := \tilde{\jmath}_{l'\kappa\sigma}(r_j) Y_{L'}(\hat{\mathbf{r}}_j)$$

 \tilde{h} , $\tilde{\jmath}$: numerical solutions of radial Kohn-Sham equation boundary conditions from envelope functions correspond to φ and $\dot{\varphi}$ of LMTO



ASW Method: Further Reading



Outline

- Background
- Full-Potential ASW Method
 - Theoretical Methodology
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- remove total energy error due to overlap of atomic spheres
 - reintroduce non-overlapping muffin-tin spheres
 - restore interstitial region





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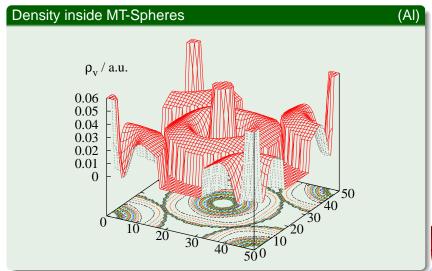




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 - inside muffin-tin spheres
 - use spherical-harmonics expansions
 - in the interstitial region
 - no exact spherical-wave representation available!









$$p'(\mathbf{r}) = \sum_n d_n F_n(\mathbf{r})$$

$$\int d^3\mathbf{r}\, F_{n'}^*(\mathbf{r}) \rho^I(\mathbf{r}) = \sum_n d_n \int d^3\mathbf{r}\, F_{n'}^*(\mathbf{r}) F_n(\mathbf{r})$$





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$$p'(\mathbf{r}) = \sum_{n} d_{n} \int d^{3}\mathbf{r} \, F^{*}(\mathbf{r})F$$

- $\int d^3\mathbf{r} \, F_{n'}^*(\mathbf{r}) p'(\mathbf{r}) = \sum_n d_n \int d^3\mathbf{r} \, F_{n'}^*(\mathbf{r}) F_n(\mathbf{r})$
- $F_n(\mathbf{r})$: plane waves
 - integrals exact
 - inefficient
 - Weyrich 1988, Blöchl 1989, VE 1991, Savrasov 1992, Methfessel 2000





$$p^{l}(\mathbf{r}) = \sum_{n} d_{n}F_{n}(\mathbf{r})$$

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- $F_n(\mathbf{r})$: spherical waves
 - would be efficient
 - integrals not known analytically
 - Springborg/Andersen 1987, Methfessel 1988, VE 2002, VE 2006





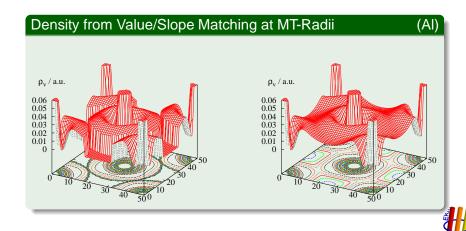
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 - Methfessel 1988: match values and slopes at MT-sphere surfaces







From Electron Density to Full Potential

Inside Muffin-Tin Spheres

density, Hartree-potential and xc-potential numerically

Interstitial Region

- density from value/slope matching
- Hartree-potential analytically
- xc-potential from value/slope matching





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From Full Potential to Basis Functions

Previous Approaches

- project full potential to muffin-tin potential
- construct basis functions from muffin-tin potential
- no minimal basis set! (large basis set!)

Present Approach

- project full potential to ASA potential
- construct basis functions from ASA potential
- minimal basis set!





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Comparison of Approaches

Ole K. Andersen

- ASA geometry used for basis functions
 - → minimal basis set

good!

- ASA geometry used for density and potential
 - → error in total energy

bad!





Comparison of Approaches

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- ASA geometry used for basis functions
 - → minimal basis set good!
- ASA geometry used for density and potential
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Michael S. Methfessel

- MT geometry used for density and potential
 - → accurate total energy

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- MT geometry used for basis functions
 - → large basis set

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Comparison of Approaches

Ole K. Andersen

- ASA geometry used for basis functions
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Michael S. Methfessel

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present approach

- ASA geometry used for basis functions
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great!

good!

good!

bad!

bad!

9.00...

great



2nd Generation ASW (VE, 2000s)

- based on 1st generation code
- full-potential ASW method
 - electron densities, spin densities
 - electric field gradients
 - elastic properties, phonon spectra
- optical properties
 - based on linear-response theory
 - direct calculation of $\Re \sigma$ and $\Im \sigma$
 - no Kramers-Kronig relations needed
- transport properties, thermoelectrics
- LDA+U method
 - all "flavours" for double-counting terms (AMF, FLL, DFT





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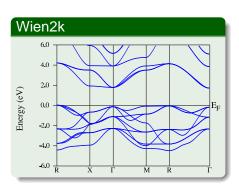
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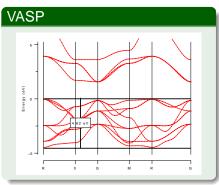






Electronic Structure of BaTiO₃

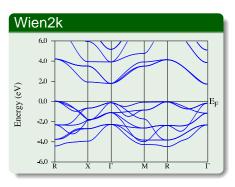


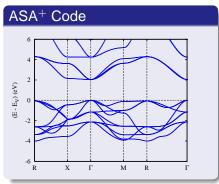






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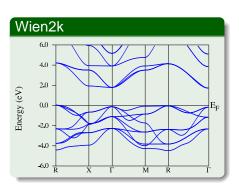


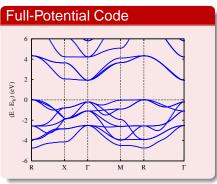






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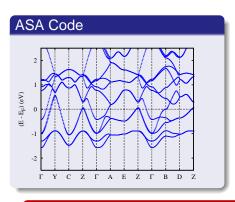
New!

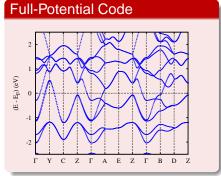
 much better agreement with other full-potential codes (valence-band width, valence states at M-point)





Fermi Surface of MoO₂





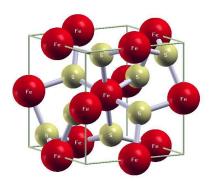
New!

- no hole pocket near Z-point
- much better agreement with ARPES, de Haas-van Alphen





Iron Pyrite: FeS₂

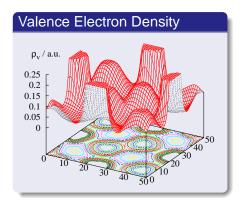


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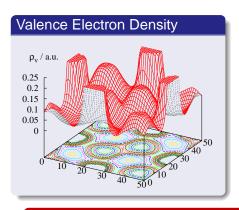
FeS₂: Density and Laplacian

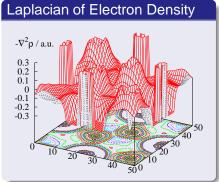






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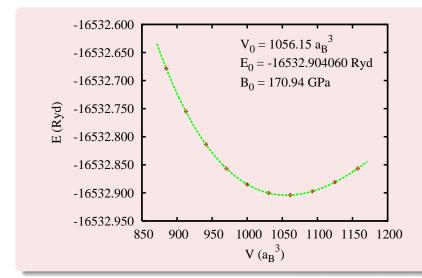


New!

topological analysis (Bader analysis)



FeS₂: Equilibrium Volume and Bulk Modulus





FeS₂: Equilibrium Volume and Bulk Modulus

Lattice Constant				
10.28	NCPP	Zeng and Holzwarth '94		
10.02	FPLO	Opahle et al. '99		
10.17	CRYSTAL98	Muscat et al. '02		
9.92	CASTEP	Muscat et al. '02		
10.18	FPASW	present work		
10.23	exp.	Finklea et al. '76		
10.22	exp.	Will et al. '84		
10.23	exp.	Stevens et al. '91		

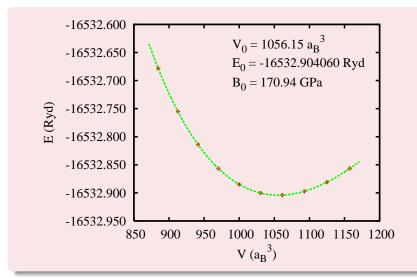


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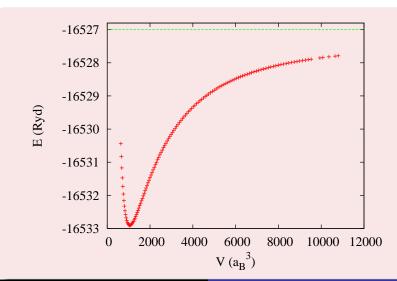
Bulk Modulus				
187	LMTO	Nguyen-Manh et al. '98		
185	FPLO	Opahle et al. '99		
209	CRYSTAL98	Muscat et al. '02		
208	CASTEP	Muscat et al. '02		
171	FPASW	present work		
148	exp.	Drickamer et al. '66		
118	exp.	Will et al. '84		
215	exp.	Chattopadhyay and von Schnering '85		
157	exp.	Fujii et al. '86		
143	exp.	Jephcoat and Olson '87		
162	exp.	Ahrens and Jeanloz '87		
145	exp.	Blachnik et al. '98		



FeS₂: From Atoms to the Solid

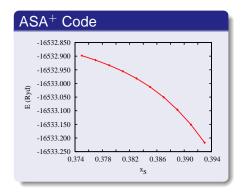


FeS₂: From Atoms to the Solid





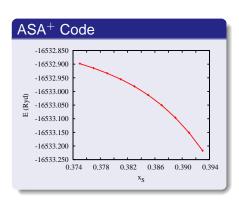
FeS₂: Structure Optimization

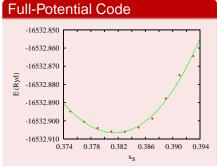






FeS₂: Structure Optimization







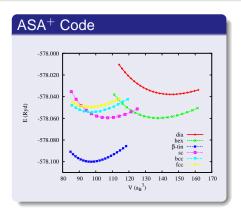


FeS₂: Structure Optimization

Sulfur Position				
0.378	NCPP	Zeng and Holzwarth '94		
0.377	FPLO	Opahle et al. '99		
0.378	CRYSTAL98	Muscat et al. '02		
0.382	CASTEP	Muscat et al. '02		
0.382	FPASW	present work		
0.386	exp.	Finklea et al. '76		
0.386	exp.	Will et al. '84		
0.385	exp.	Stevens et al. '91		



Phase Stability in Silicon



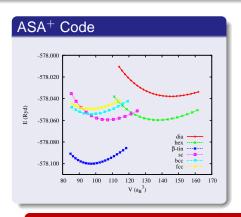
Bad

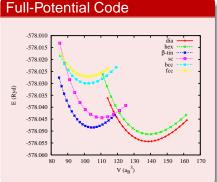
• β -tin structure most stable # nature (diamond structure)





Phase Stability in Silicon



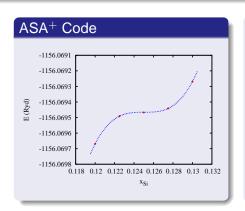


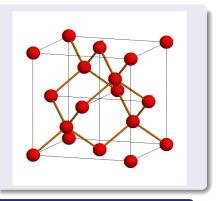
New!

- diamond structure most stable
- ullet pressure induced phase transition to eta-tin structure



LTO(Γ)-Phonon in Silicon



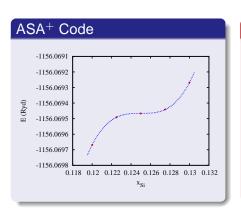


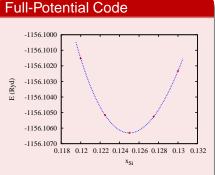
Bad

no stable Si position # nature



LTO(Γ)-Phonon in Silicon



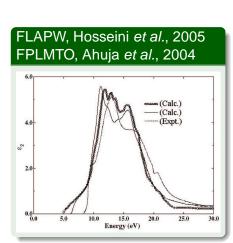


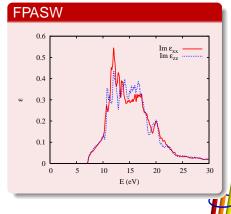
New!

• phonon frequency: $f_{calc} = 15.34 \,\text{THz}$ ($f_{exp} = 15.53 \,\text{THz}$)

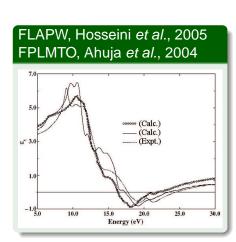


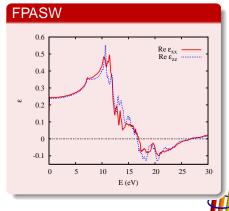
Dielectric Functions of Corundum Imaginary Part



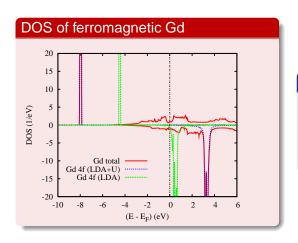


Dielectric Functions of Corundum Real Part





LDA+U-Calculations for Gadolinium







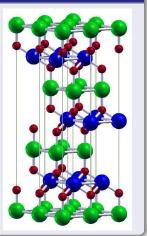
Outline

- Background
- 2 Full-Potential ASW Method
 - Theoretical Methodology
 - Proof of Concept: Results
- Materials Science: Delafossites





Delafossite Structure



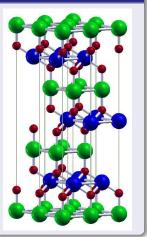
Building Blocks

- rhombohedral lattice
- triangular A-atom layers
- BO₂ sandwich layers
- B-atoms octahedrally coordinated
- linear O-A-O bonds





Delafossite Structure



Building Blocks

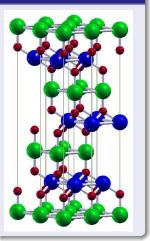
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- triangular A-atom layers
- BO₂ sandwich layers
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- linear O-A-O bonds

Issues

- dimensionality
- geometric frustration
- play chemistry



Delafossite Structure



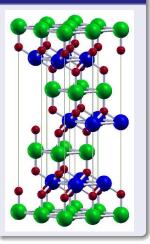
Prototype Materials

- CuFeO₂, CuCrO₂
- CuCoO₂, CuRhO₂
- CuAlO₂, CuGaO₂, CuInO₂, . . .
- PdCrO₂, PdCoO₂, PdRhO₂, PtCoO₂

- semiconductors, AF interactions, (distorted) triangular
- non-mag. semicond., high TEP
- wide-gap semicond., p-type TCO
- very good metals, high anisotropy



Delafossite Structure



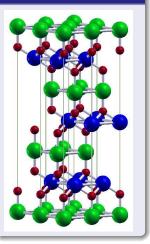
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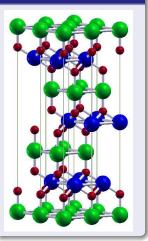
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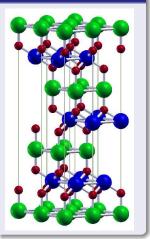
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PdCoO₂ and PtCoO₂

Delafossite Structure



Experimental Results

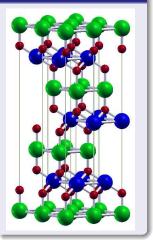
- very low resistivity
- anisotropy ratio ≈ 200
- PES: only Pd 4d states at E_F
- PES/IPES: E_F in shallow DOS minimum
 - high TEP on doping?





PdCoO₂ and PtCoO₂

Delafossite Structure



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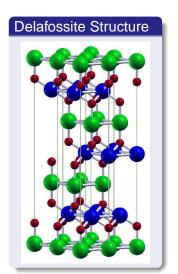
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Open Issues

role of Pd 4d, Co 3d, and O 2p orbitals?



Structure Optimization in PdCoO₂

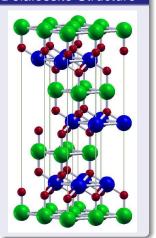


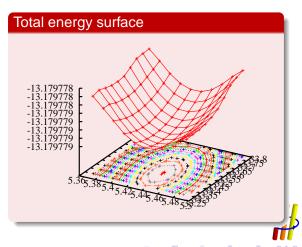




Structure Optimization in PdCoO₂

Delafossite Structure





Structure Optimization in PdCoO₂

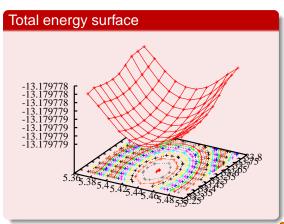
Structural Data

experiment

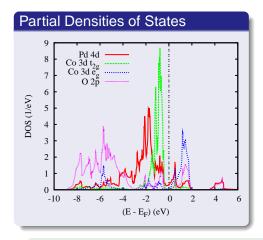
- $a = 2.83 \,\text{Å}$
- \circ c = 17.743 Å
- $z_0 = 0.1112$

theory

- a = 2.8767 Å
- \circ c = 17.7019 Å
- $z_0 = 0.1100$



Electronic Properties of PdCoO₂

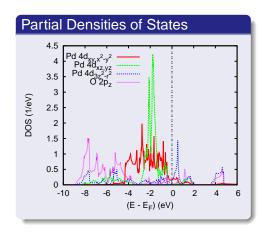


Results

- Co 3d-O 2p hybridization
- CoO₆ octahedra: Co $3d \Rightarrow t_{2g}$ and e_g
- Co 3d⁶ (Co³⁺) LS
- Pd 4d⁹ (Pd¹⁺)
- Co 3d, O 2p: very small DOS at E_F



Electronic Properties of PdCoO₂

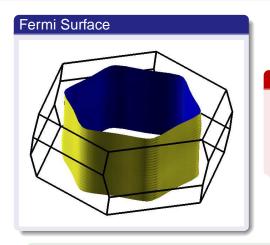


Results

- broad Pd d_{xy,x²-y²} bands
 - short in-plane Pd-Pd distance
- non-bonding Pd $d_{xz,yz}$ bands
- strong Pd $4d_{3z^2-r^2}$ -O 2p hybridization
- states at E_F:
 Pd d_{xy,x²-y²}, d_{3z²-r²}



Electronic Properties of PdCoO₂

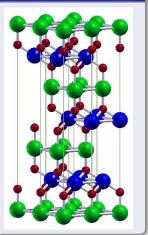


Results

- quasi-2D
- single band crossing E_F
- but: bands below E_F disperse along Γ-A



Delafossite Structure



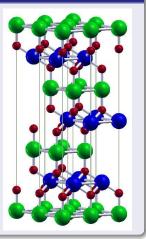
Basics

- semiconductor
- AF interactions
- triangular lattice





Delafossite Structure



Basics

- semiconductor
- AF interactions
- triangular lattice

Open Issues

- frustration vs. long-range order
- role of Cu 3d orbitals?
- role of Fe 3d and O 2p orbitals?





Previous Neutron Data

- $T_{N_1} = 16 \,\mathrm{K}, \, T_{N_2} = 11 \,\mathrm{K}$
- Θ_{CW} = −90 K
- magnetic supercells
- no structural distortion
- $m_{Fe^{3+}} = 4.4 \, \mu_{B}$



All-Electron Full-Potential Calculations at O(ASA) Speed

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- \bullet m_{Fe³⁺} = 4.4 μ B

Band Calculations

- rhombohedral structure
- \bullet m_{Fe} = 0.9 μ _B, m_{Fe} = 3.8 μ _B
- $E_g = 0$ in LDA, GGA
- # PES, XES





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New Neutron Data

- magnetic supercells
- monoclinic structure below 4 K

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CuFeO₂

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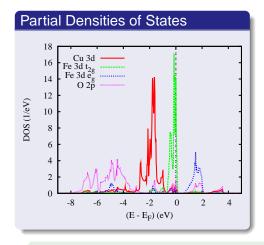
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Open Issues

- spin-state of Fe?
- influence of monoc. structure?



Electronic Properties of CuFeO₂

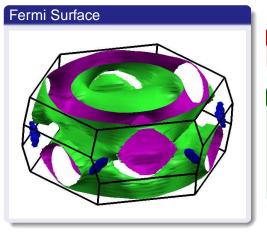


Results

- Fe 3d-O 2p hybridization
- FeO₆ octahedra: Fe $3d \Rightarrow t_{2g}$ and e_g
- Cu 4d¹⁰ (Cu¹⁺)
- Fe 3*d t*_{2*g*}
 - sharp peak at E_F



Electronic Properties of CuFeO₂







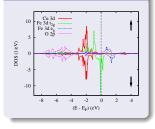


Total Energies (mRyd/f.u.), Magn. Moms. (μ_B), Band Gaps (eV)

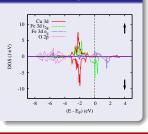
structure	magn. order	ΔE	m_{Fe}	$m_{\rm O}$	E_g
rhomb.	spin-deg.	0.0			-
rhomb.	ferro (LS)	-16.7	1.03	-0.02	-
rhomb.	ferro (IS)	-12.0	2.02	-0.02	-
rhomb.	ferro (HS)	-19.2	3.73	0.21	-



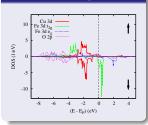
LS Ferromagnet



IS Ferromagnet



HS Ferromagnet



Results

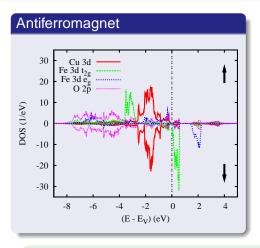
- LS, IS, HS in rhombohedral structure
- HS: O 2p polarization via Fe 3d e_g



Total Energies (mRyd/f.u.), Magn. Moms. (μ_B), Band Gaps (eV)

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rhomb.	ferro (HS)	-19.2	3.73	0.21	-
monoc.	spin-deg.	-6.0			-
monoc.	ferro (LS)	-21.5	1.04	-0.02	-
monoc.	ferro (IS)	-19.0	2.08	-0.02	-
monoc.	ferro (HS)	-32.0	3.62	0.19	-





Results

- monoc. structure
- Fe³⁺ HS
- O 2p polarization via Fe 3d e_q
- $E_g > 0$ in GGA



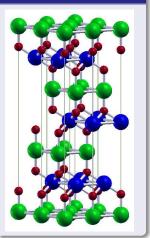
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monoc.	ferro (HS)	-32.0	3.62	0.19	-
monoc.	antiferro	-46.0	± 3.72	± 0.08	0.05



CuRhO₂

Delafossite Structure



Experimental Findings

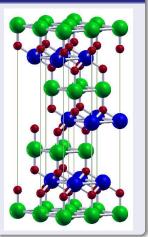
- semiconductor
- high TEP on hole doping
 - \bullet Rh³⁺ \longrightarrow Mg²⁺ up to 12%
- high T-independent PF





CuRhO₂

Delafossite Structure



Experimental Findings

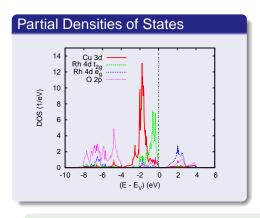
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Open Issues

- origin of high TEP
- role of Cu 3d orbitals?
- role of Rh 4d and O 2p orbitals?



Electronic Properties of CuRhO₂



Results

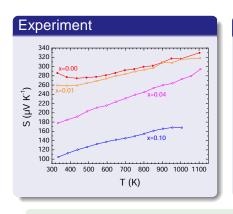
- Rh 4d-O 2p hybridization
- RhO₆ octahedra: Rh $4d \Rightarrow t_{2g}$ and e_g
- \bullet E_g $\approx 0.75\,eV$
- Cu 4d¹⁰ (Cu¹⁺)
- electronic structure: strongly 3D

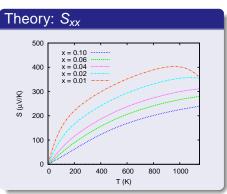
A. Maignan, VE, et al., Phys. Rev. B 80, 115103 (2009)





Thermoelectric Power of CuRhO₂





A. Maignan, VE, et al., Phys. Rev. B 80, 115103 (2009)





Summary

Full-Potential ASW Method

- ASA Geometry used for Basis Functions
- MT Geometry used for Density and Potential
- Accurate Total Energies
- O(ASA) Speed!
- Optical and Transport Properties implemented
- LDA+U-Method implemented

What's Next?

- Reshape the Basis Set
- Forces? Automated Structure Optimization?
- Exact Exchange (EXX)?





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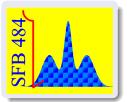
R. Frésard, S. Hébert

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Santiago de Chile

Thank You for Your Attention!

