



Thermal stability and dynamical properties of $\text{Ti}_3\text{SiC}_2(0001)$ at high temperatures: First-principles calculations

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www.gnm.cl

4th Workshop on novel methods for electronic structure calculations,
and first southamerican congress on materials
Universidad Nacional de La Plata, Argentina

Research in Chile

Population 15 millions

Santiago ~ 4 millions

Not good education...

Ranking Iberoamericano 2010	Universidad
1 (18)	Universidad de Chile
2 (32)	Pontificia Universidad Católica de Chile
3 (56)	Universidad de Concepción
4 (104)	Universidad de Santiago de Chile
5 (108)	Universidad Austral de Chile
6 (126)	
7 (137)	
8 (144)	
9 (156)	
10 (164)	

+ 3-4 other places and
2-3 non-university institutes



Ab-initio in Chile

Approx 20-25 faculty + 10-12 PhD student



Santiago

Uchile 4+6

PUC: 4

USACH 3

UNAB 1+3

Valparaíso

UTFSM-PUCV: 2

Valdivia

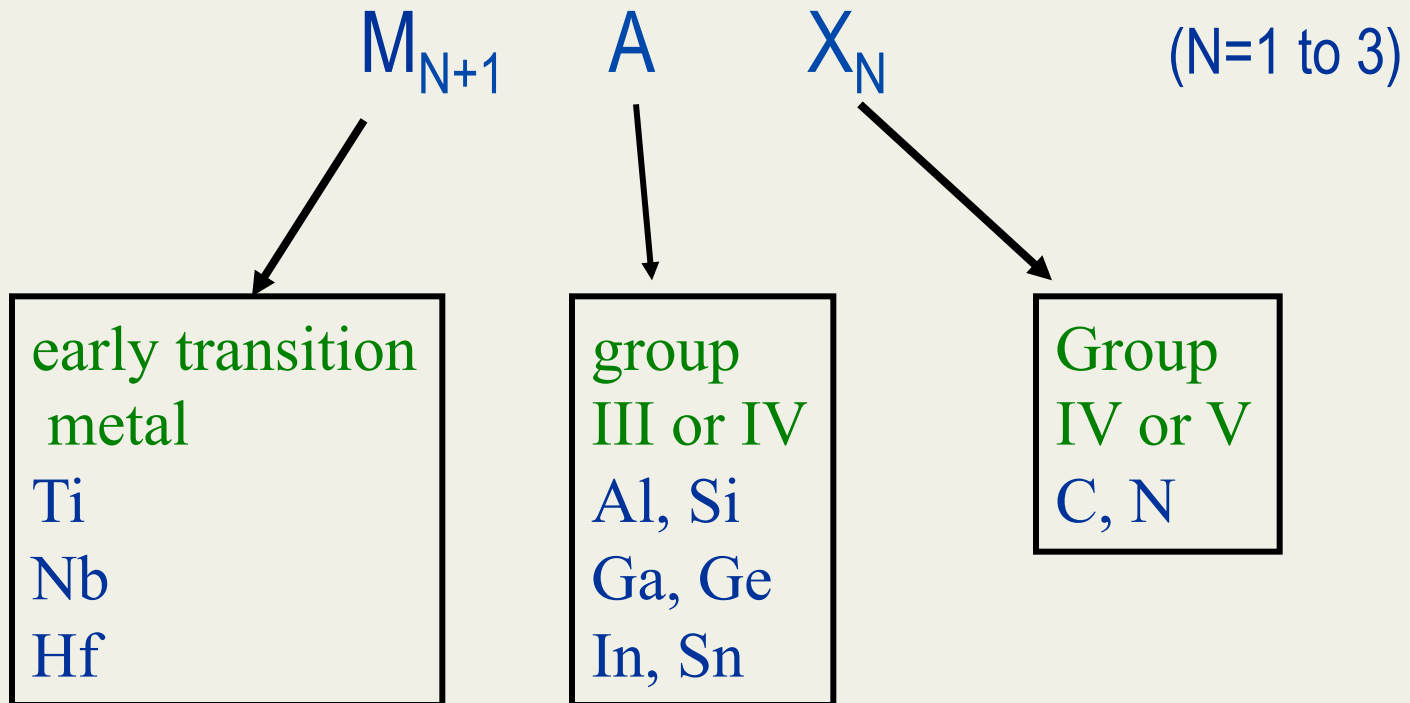
UACH: 3

Outline

- ✓ Introduction
- ✓ Theoretical approach
- ✓ Stability and energetics of $\text{Ti}_3\text{SiC}_2(0001)$ at 0K
- ✓ Stability of $\text{Ti}_3\text{SiC}_2(0001)$ at high temperature (up to 1400 °C)
- ✓ Oxidation processes by O_2 and H_2O
- ✓ Conclusions

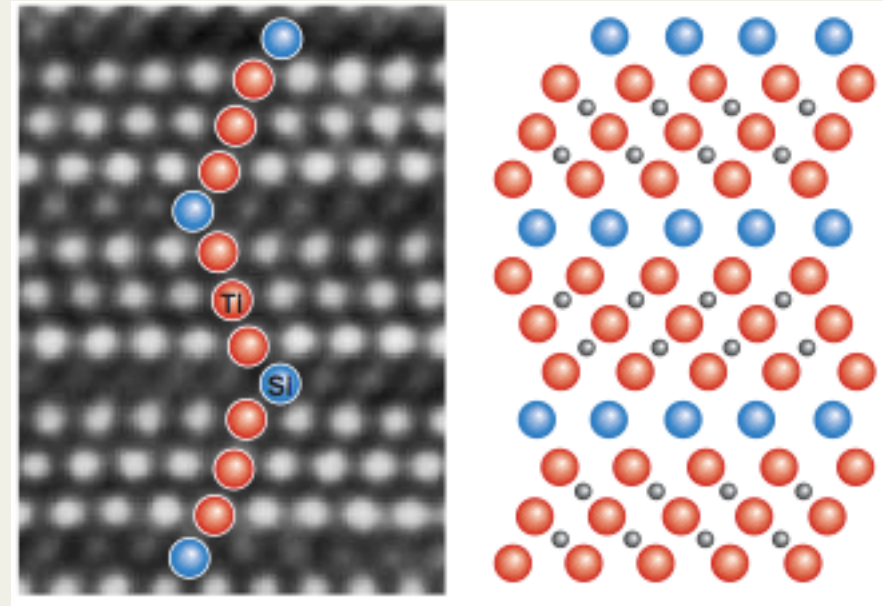
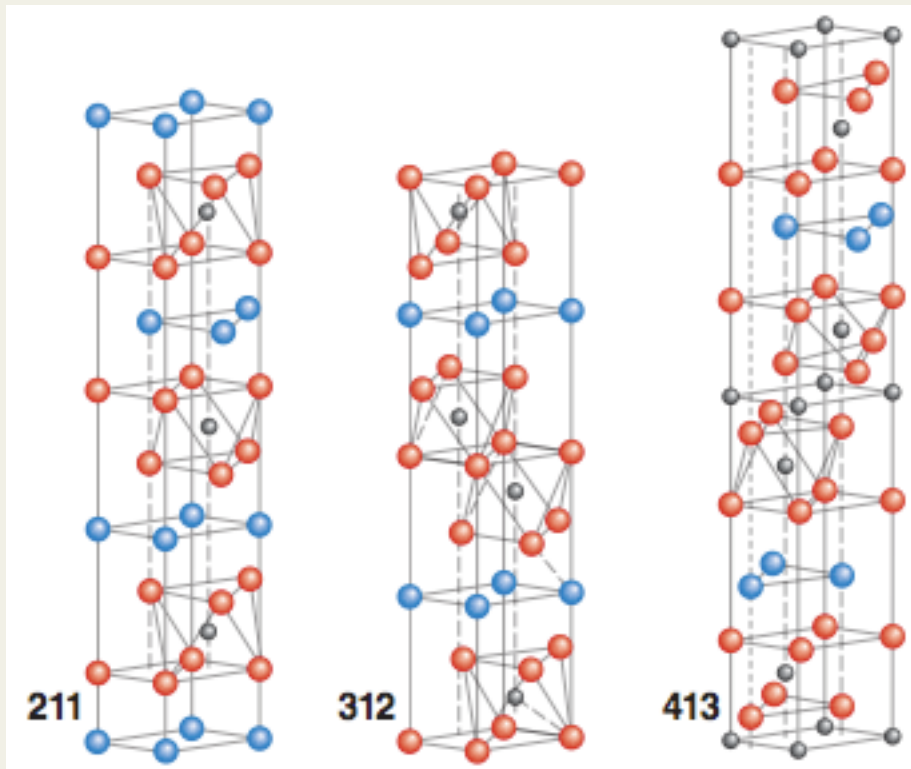
MAX Phases

Ternary layered compounds



Ex.: Ti_2AlC , Ti_3SiC_2 , Ti_4AlN_3

MAX Phases: structure



(Micrograph by L. Farber & I. Levin, NIST)

Max Phases: properties

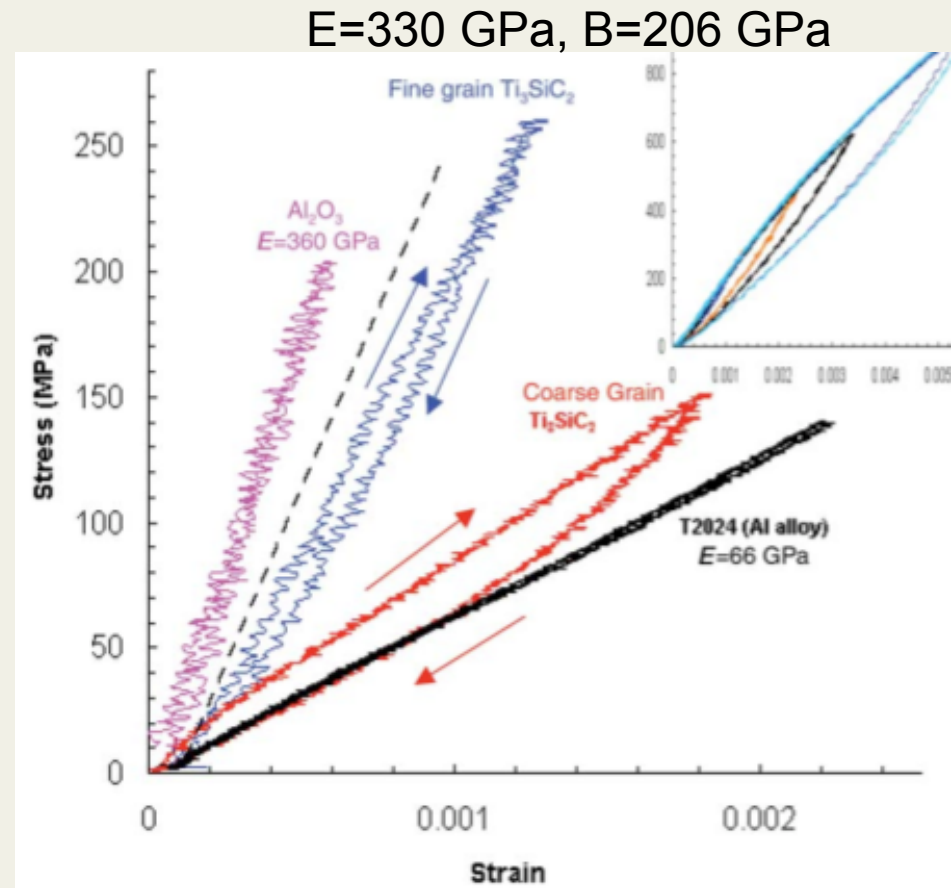
Best attributes of metals and ceramics

Like metals:

- Thermal and electrical conductors (better than Ti)
- Readily machinable
- Plastic at high temperatures

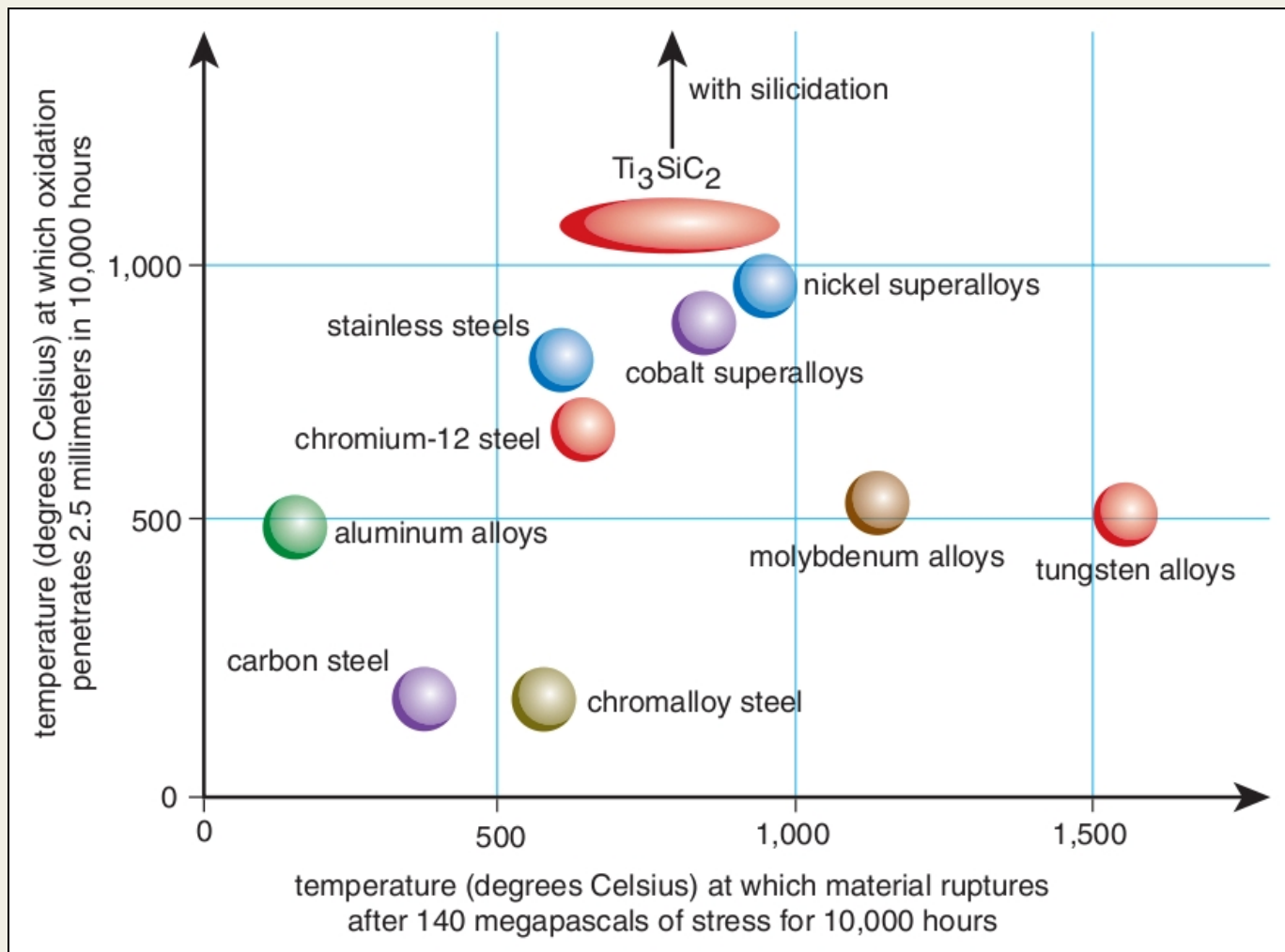
Like ceramics:

- Elastically rigid
- Lightweight
- Maintain their strengths at high temperature



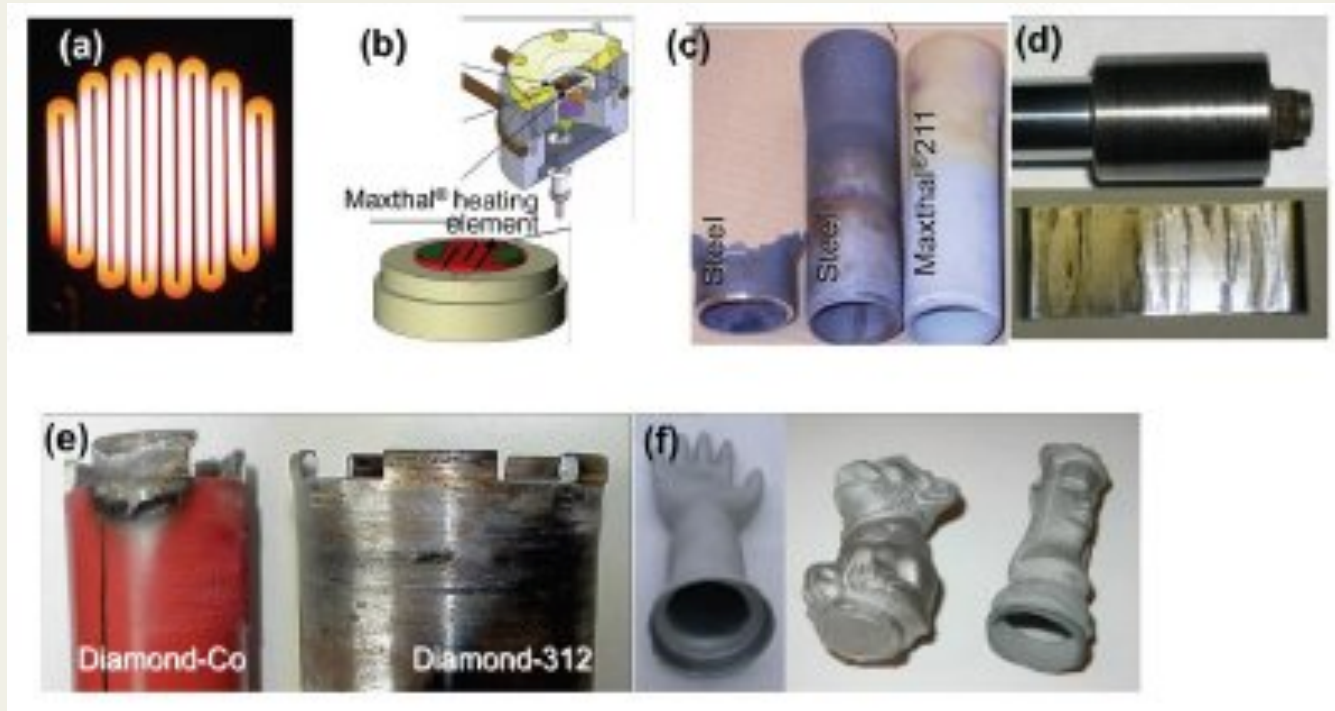
Barsoum (2001)

Oxidation v/s rupture with temperature



M. W. Barsoum & T. El-Raghy, American Scientist 89, 334 (2001)

MAX phases: applications



(From Kanthal Corp. Sweden, and 3-ONE- 2 Company)

- a) and b): heating elements
- c) gas burner nozzles in corrosive environments,
- d) high-temperature bearings,
- e) diamond/Ti₃SiC₂ composites for dry drilling of concrete (developed with Hilti Corp.),
- f) examples of very thin walled parts manufactured by slip casting.

MAX phases: stiff, lightweight and ductile

Potential applications in high-performance jet engines for aircraft



The current jet engine technology (TiNi and TiAl alloys, like those used in the Airbus A330), is limited by material failure at high temperatures.

Ti₃SiC₂(0001)

- ✓ Ti₃SiC₂ is a representative member of M_{n+1}AX_n class of materials
- ✓ It shows remarkable combination of properties between metal and ceramic with resistance to chemical attack (oxidation and corrosion) suggesting application as coating materials.
- ✓ Experiments show that Ti₃SiC₂ thin films are stable during vacuum furnace up to 1100-1200 °C, above which a decomposition is initiated by out-diffusion and evaporation of Si atoms from the top of (0001) surface on a nanometer depth scale.
[Emmerlich *et al.*, Acta Materialia 55, 1497 \(2007\)](#)
- ✓ The thermophysical and corrosion-resistant properties of Ti₃SiC₂(0001) in the atomic scale are currently unknown

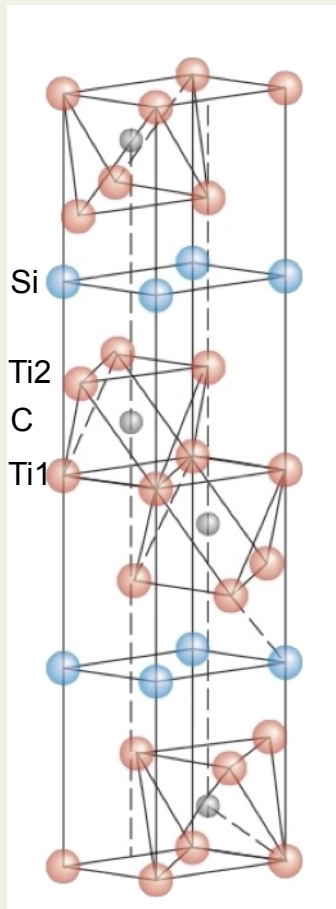
What we have done*

- ✓ Determine the energetic and electronic properties for all $\text{Ti}_3\text{SiC}_2(0001)$ surface terminations (at 0K).
 - ✓ Density functional theory calculations (DFT-GGA), SIESTA code.
 - ✓ Symmetrical slab of (3x3) surface unit cell (19-23 Monolayers).
 - ✓ Brillouin zone sampling: 3x3x1 Monkhorst-Pack mesh
- ✓ Determine the relative stability of these surfaces at high temperatures
 - ✓ Constant-temperature ab initio molecular dynamic simulations.
 - ✓ Asymmetrical slab of (3x3) surface unit cell (8-9 Monolayers).
 - ✓ Brillouin zone sampling: Γ point

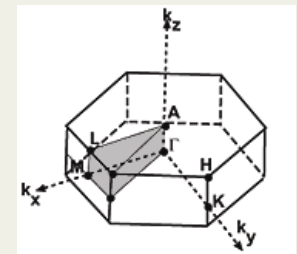
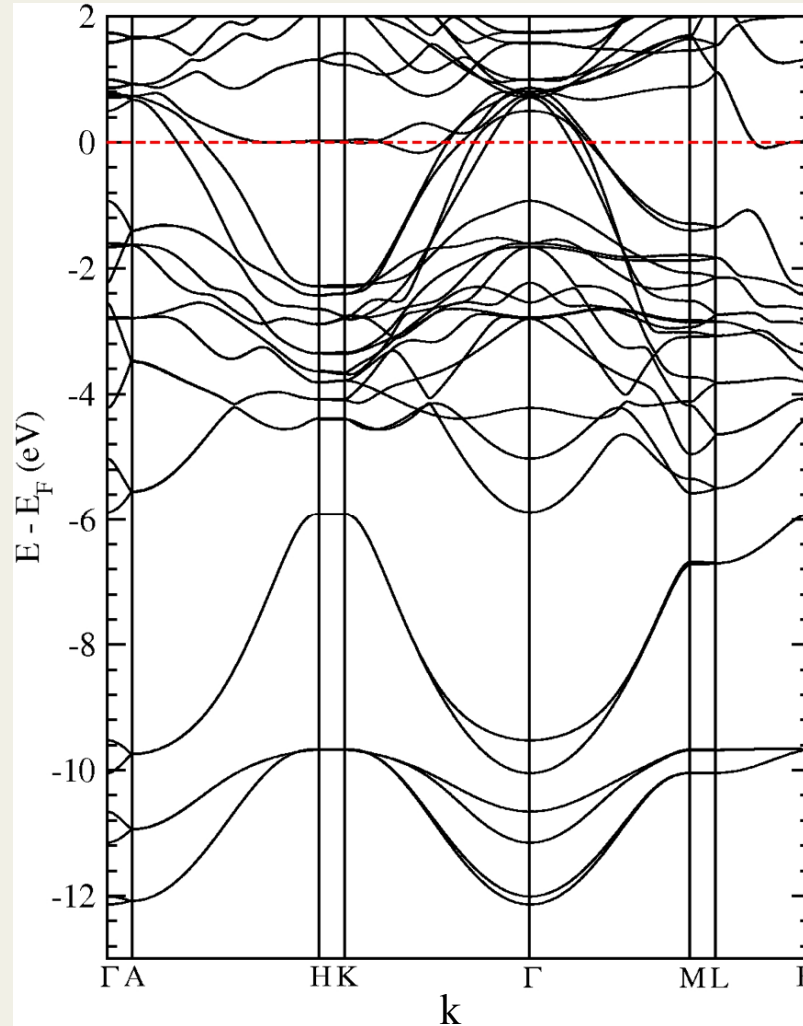
* W. Orellana & G. Gutiérrez, Surface Science **605**, 2087 (2011)

Electronic properties of bulk Ti_3SiC_2

Ti_3SiC_2 unit cell



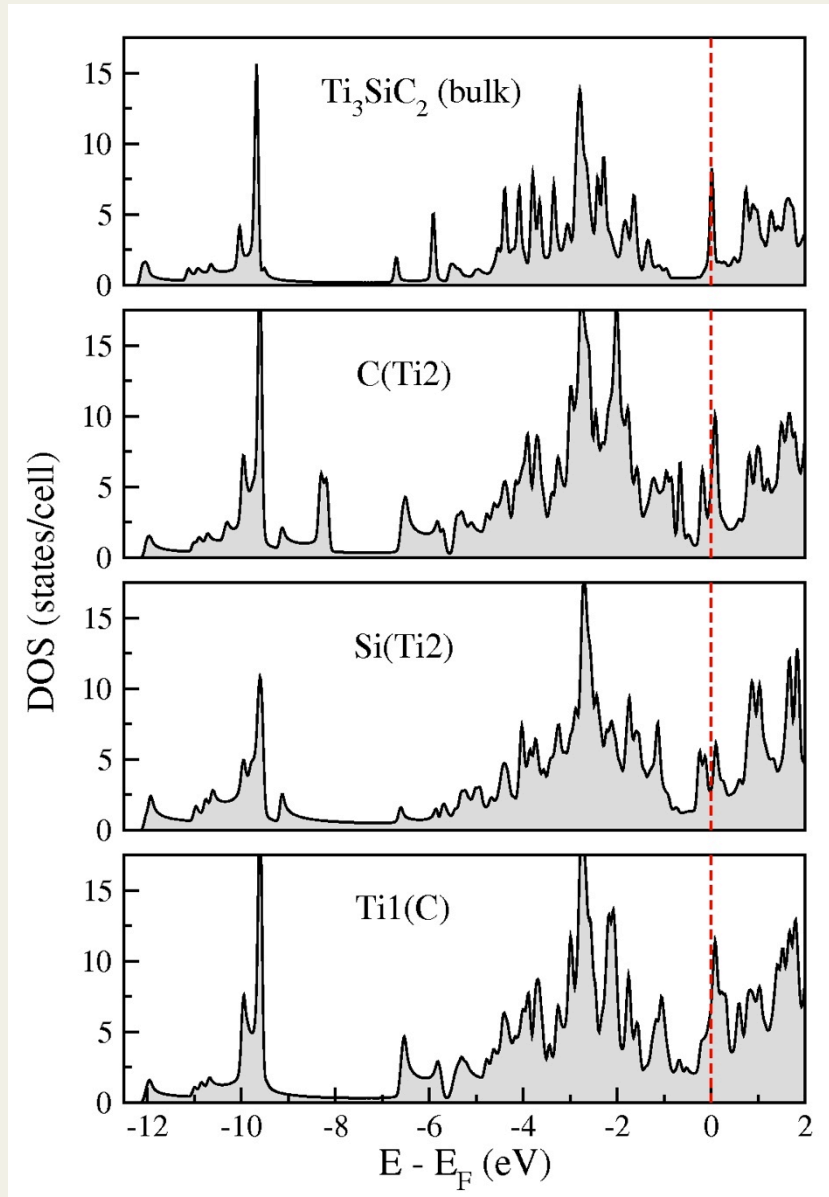
Ti_3SiC_2 band structure



$a = b = 3.08 \text{ \AA} (+0.5\%)$
 $c = 17.71 \text{ \AA} (+0.2\%)$

} Equilibrium lattice constants
(deviation from experiments)

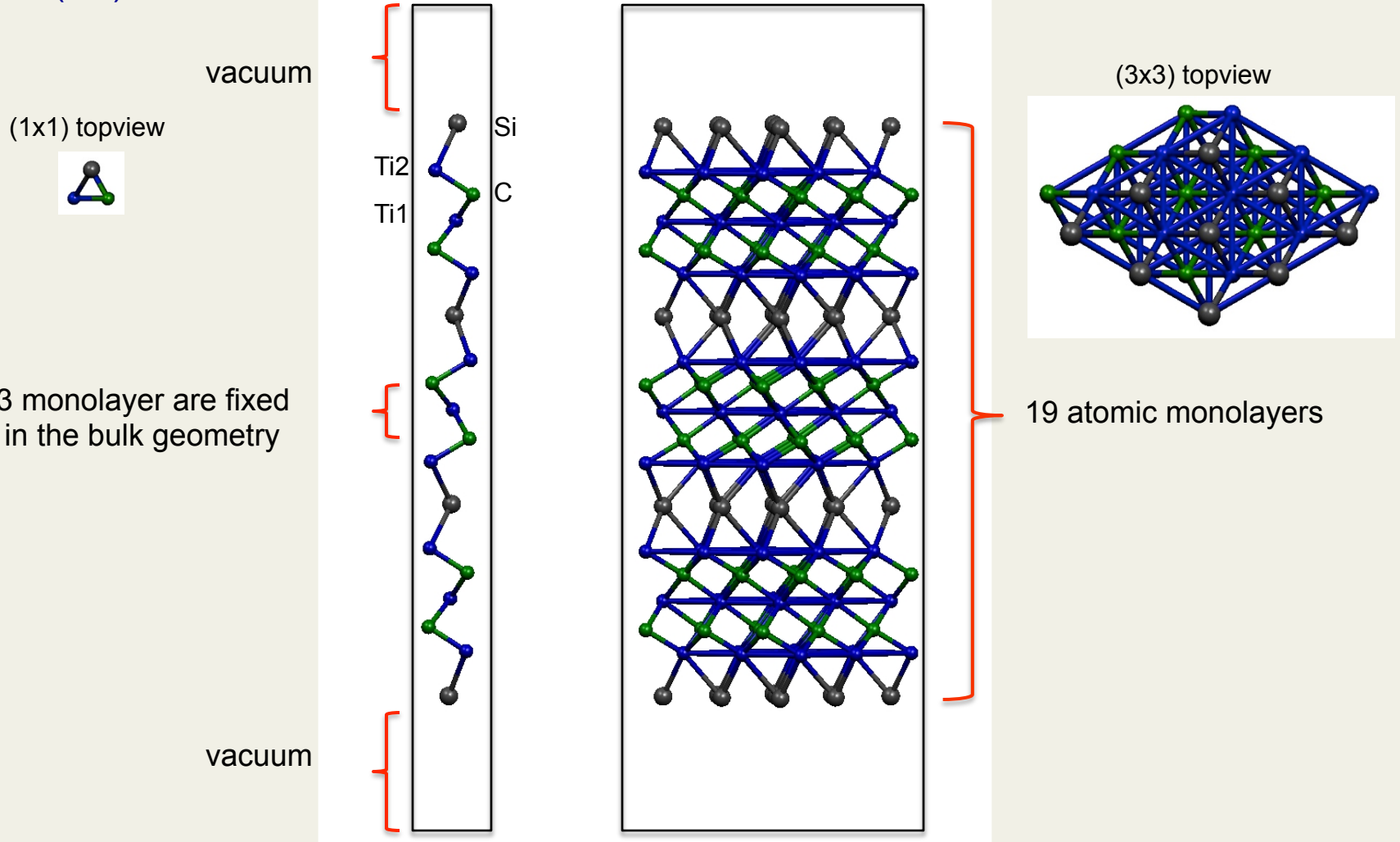
Electronic Properties



Surface Calculations

Bulk Ti_3SiC_2 has two kind of bonds for titanium: C-Ti1-C and C-Ti2-Si, giving six terminations along the (0001) direction: C(Ti1), C(Ti2), Si(Ti2), Ti1(C), Ti2(C), Ti2(Si).

Si(Ti2) surface:



Surface Energy

Surface energy of a symmetrical-terminated slab at zero temperature and negligible pressure is given by

$$\gamma = (E_t - \sum n_i \mu_i) / 2A$$

E_t = total energy of the slab

A = area of the slab

n_i = number of the atomic species $i=C, Si, Ti$

μ_i = chemical potential of the species i

- the chemical potentials μ_i are constrained to vary according to the MAX phase stoichiometric relation
- μ_i takes the value of its respective bulk phase

Relative surface stability

Surface	Mono layer	E_R (eV) (3x3)	γ (J/m ²) (3x3)
C(Ti1)	21	-17.15	8.49
C(Ti2)	23	-3.74	8.45
Si(Ti2)	19	-3.27	1.82
Ti1(C)	19	-0.10	2.53
Ti2(C)	23	-0.02	3.98
Ti2(Si)	21	-0.43	1.88

E_R (eV) (1x1)	γ (J/m ²) (1x1)
-0.47	11.82
-0.84	8.59
-0.07	2.57
-0.29	2.65
-0.10	4.15
-0.08	1.96

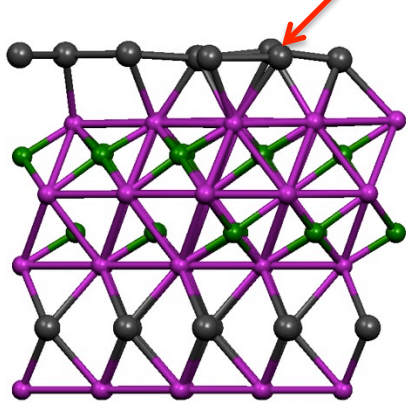
Si(Ti2) and Ti2(Si) show the lower-surface-energy terminations suggesting higher relative stabilities. We also observe large relaxation energies (E_R) in Si(Ti2) and carbon-terminated surfaces indicating reconstructions.

Previous calculations considering (1x1) surface unit cells show different results which we attributed to the minimal cell size used, which prevent on-plane relaxation.

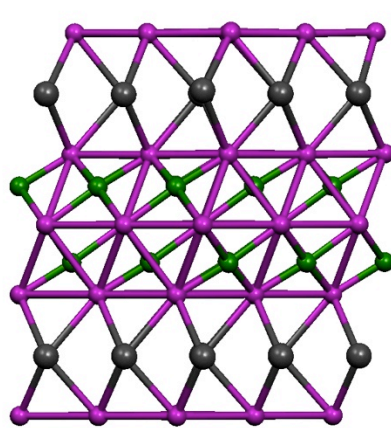
Zhang *et al.* *Acta Materialia* 55, 4645 (2007).

Equilibrium Geometries

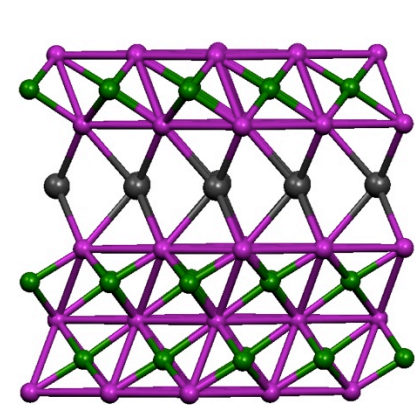
Si(Ti2) **reconstructed surface**



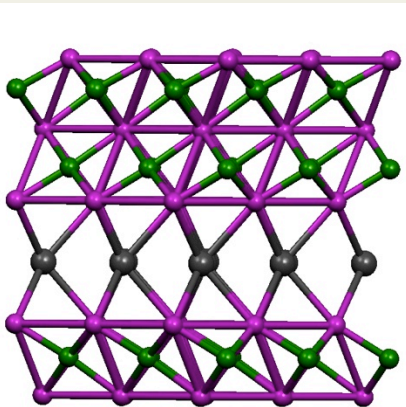
Ti2(Si)+0.1 eV



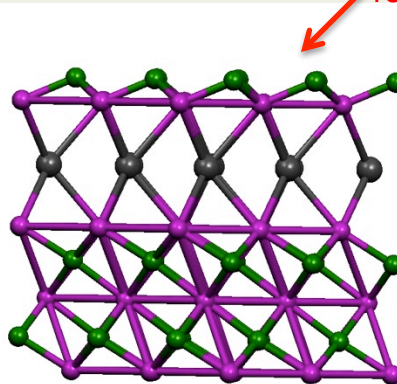
Ti1(C)+0.7 eV



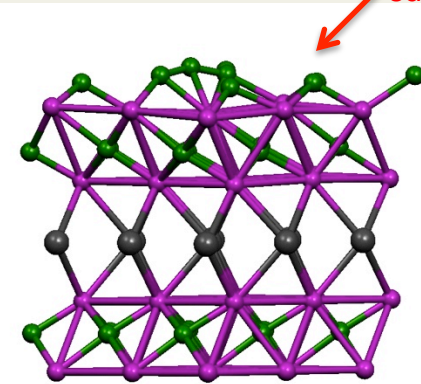
Ti2(C)+2.2 eV



C(Ti2)+6.6 eV **large relaxation**



C(Ti1)+6.7 eV **reconstructed surface**



Comparing average bond distances of Si-Ti [from Si(Ti2)] and Ti-C [from C(Ti2)], 2.73 and 1.94 Å, respectively, we confirm the weakness of the Si-Ti bonds.

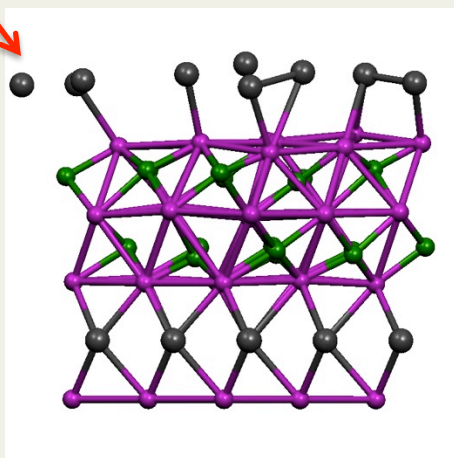
Stability at High Temperature: MD simulations

- ✓ Constant-temperature ab initio molecular dynamic simulations
- ✓ Asymmetrical slab with 8-9 monolayers, fixing the two bottommost.
- ✓ $T = 800, 1000, 1200, \text{ and } 1400 \text{ }^\circ\text{C}$, over 4 ps with a time step 1 fs.

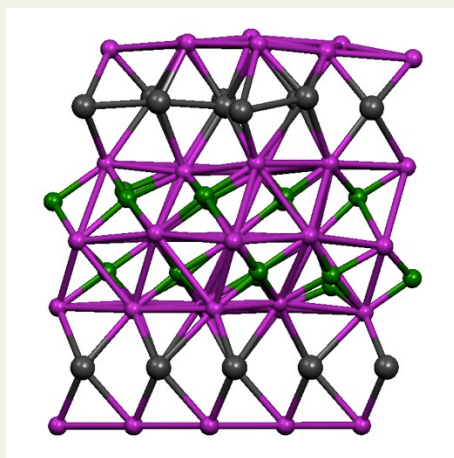
Snapshot of MD simulations at 1400 °C

desorptions

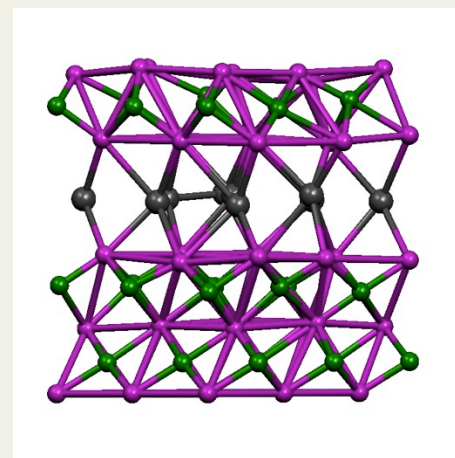
Si(Ti2)



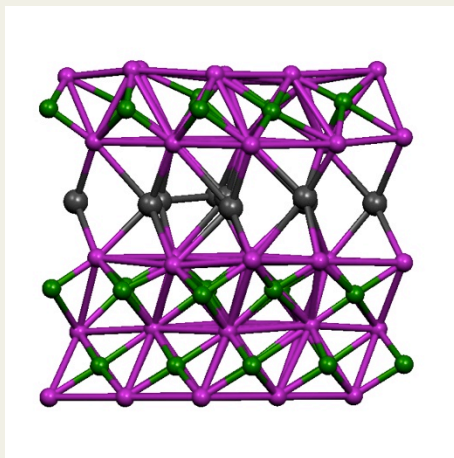
Ti2(Si)



Ti1(C)

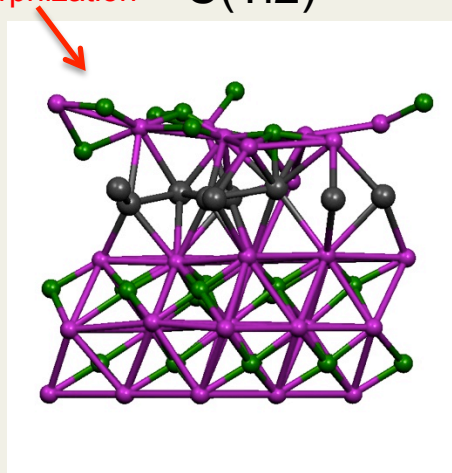


Ti2(C)



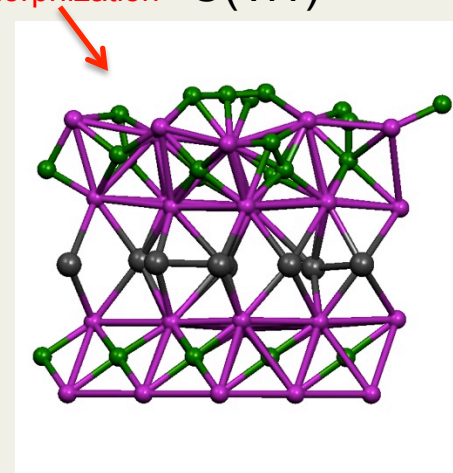
amorphization

C(Ti2)



amorphization

C(Ti1)

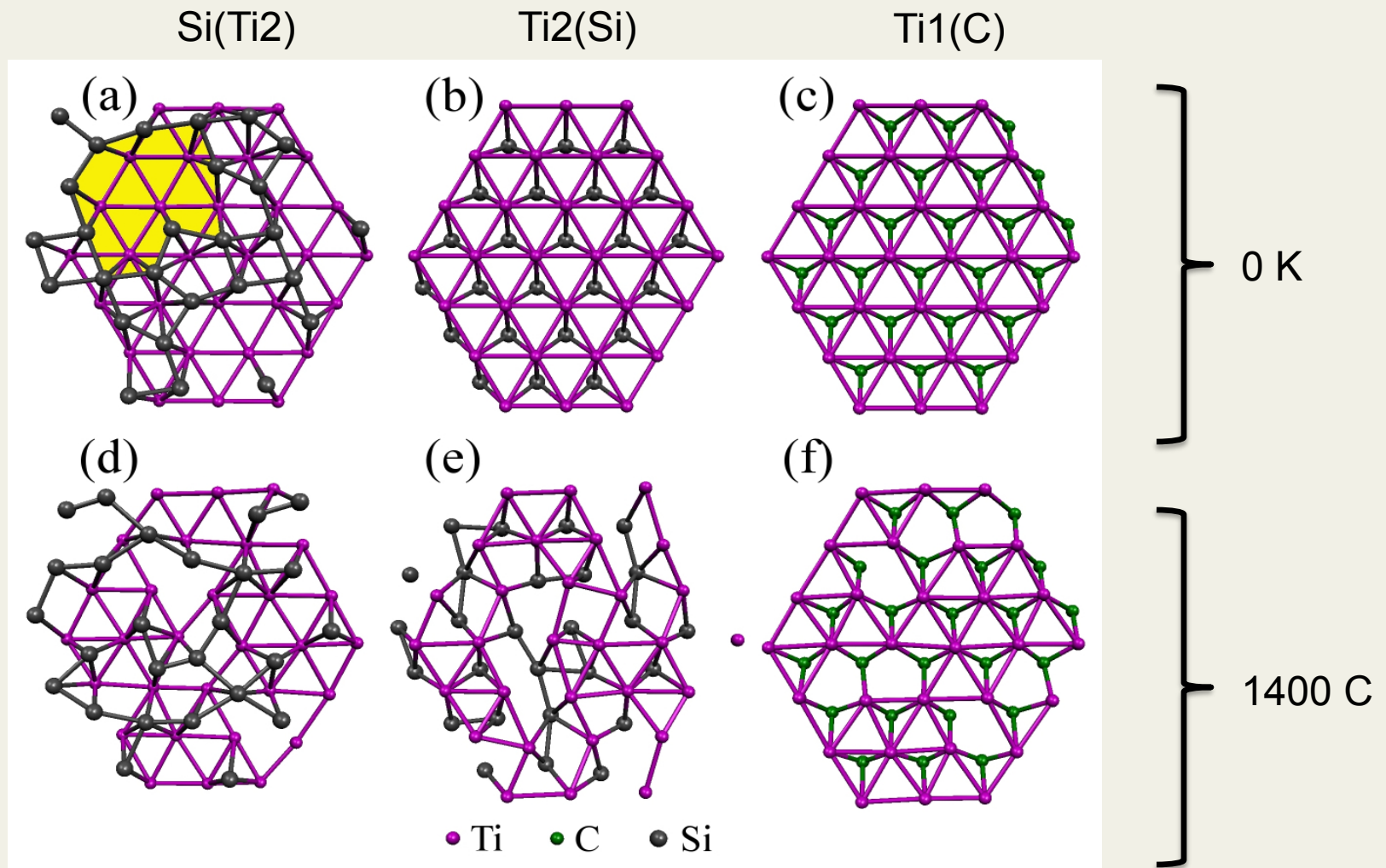


The weakness of the Si-Ti bonds as compared with C-Ti provoke large Si displacements inducing surface amorphization and desorptions when the Si ML is close to the top surface

MD simulations at 1400 °C

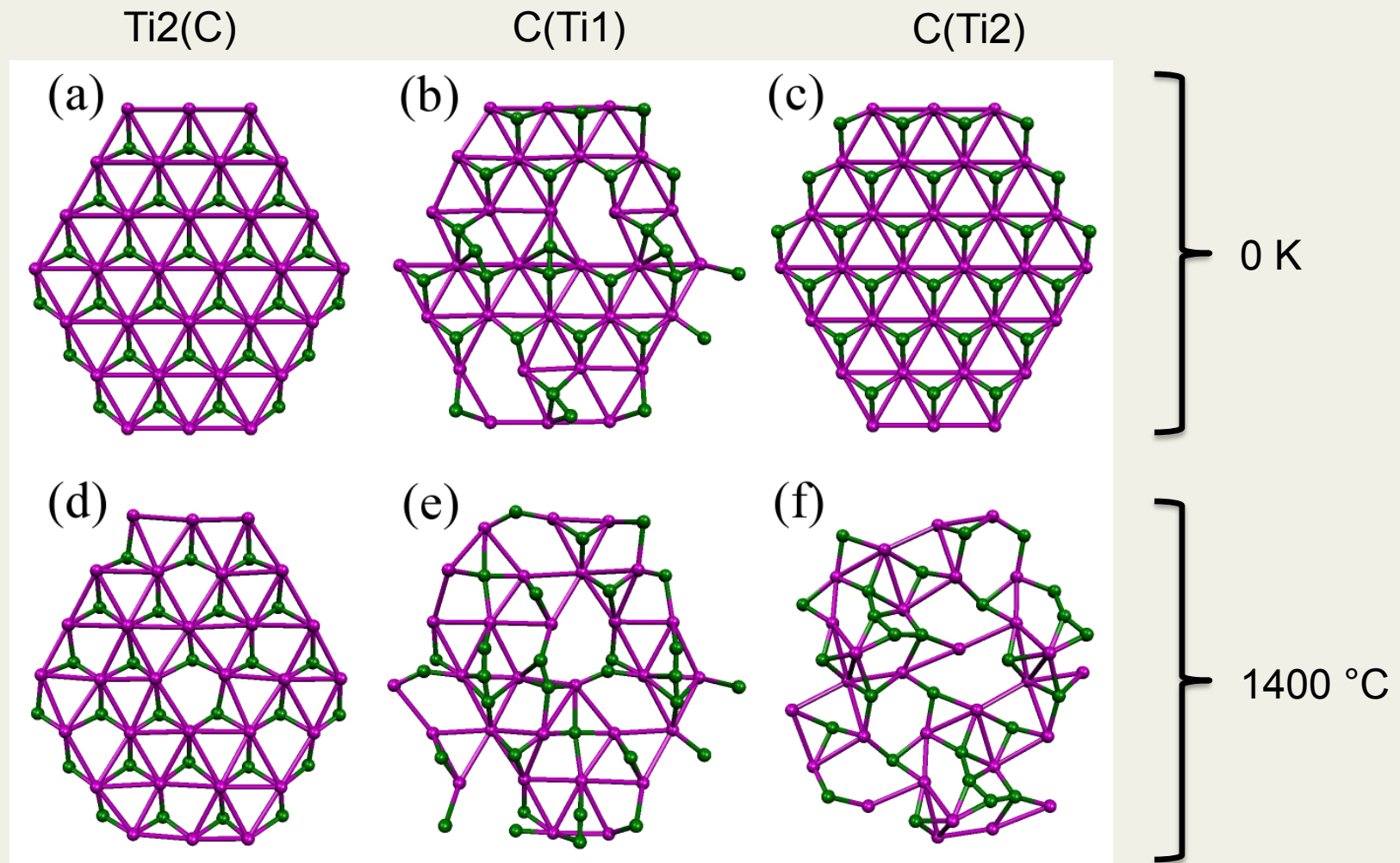
See animation

Stability at 0K and high temperature



- ✓ Si(Ti₂) shows low surface energy at 0K, however it is highly unstable at high temperatures, forming a liquid phase at top surface and desorption event.
- ✓ Si(Ti₂) when located at subsurface induces top surface amorphization.

Stability at 0K and high temperature

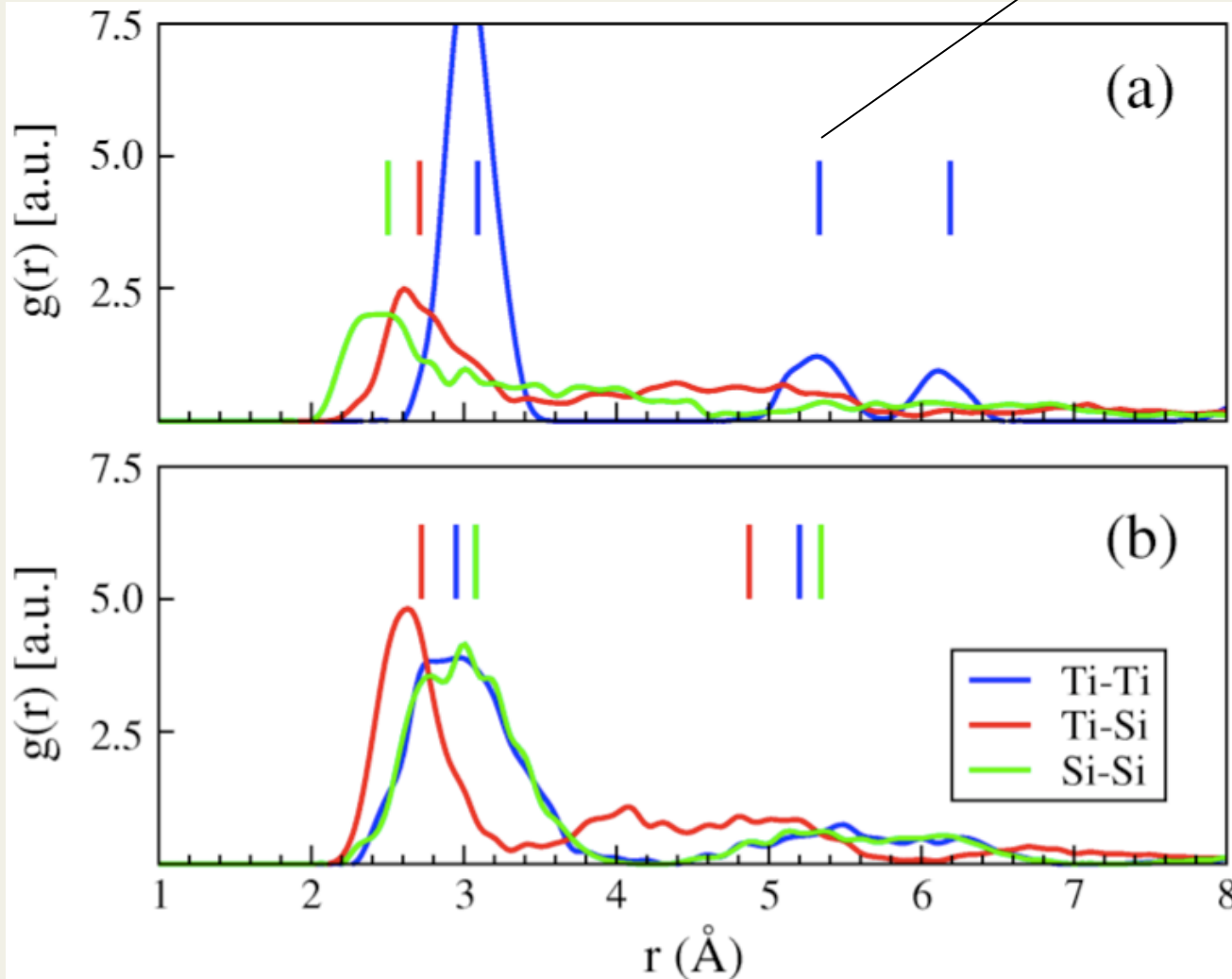


- ✓ Ti₁(C) and Ti₂(C) although with higher surface energy, show a remarkable thermal stability at high temperatures, preserving their structures up to 1400 °C.
- ✓ C(Ti₁) and C(Ti₂) show amorphization features with the formation of C-C covalent bonds.

Atomic structure at high temperature: 1400 °C

Pair distribution function

at 0 K after reconstruction



$\text{Si}(\text{Ti}_2)$

Si-Si and Ti-Si have liquid-like structure

$\text{Ti}_2(\text{Si})$

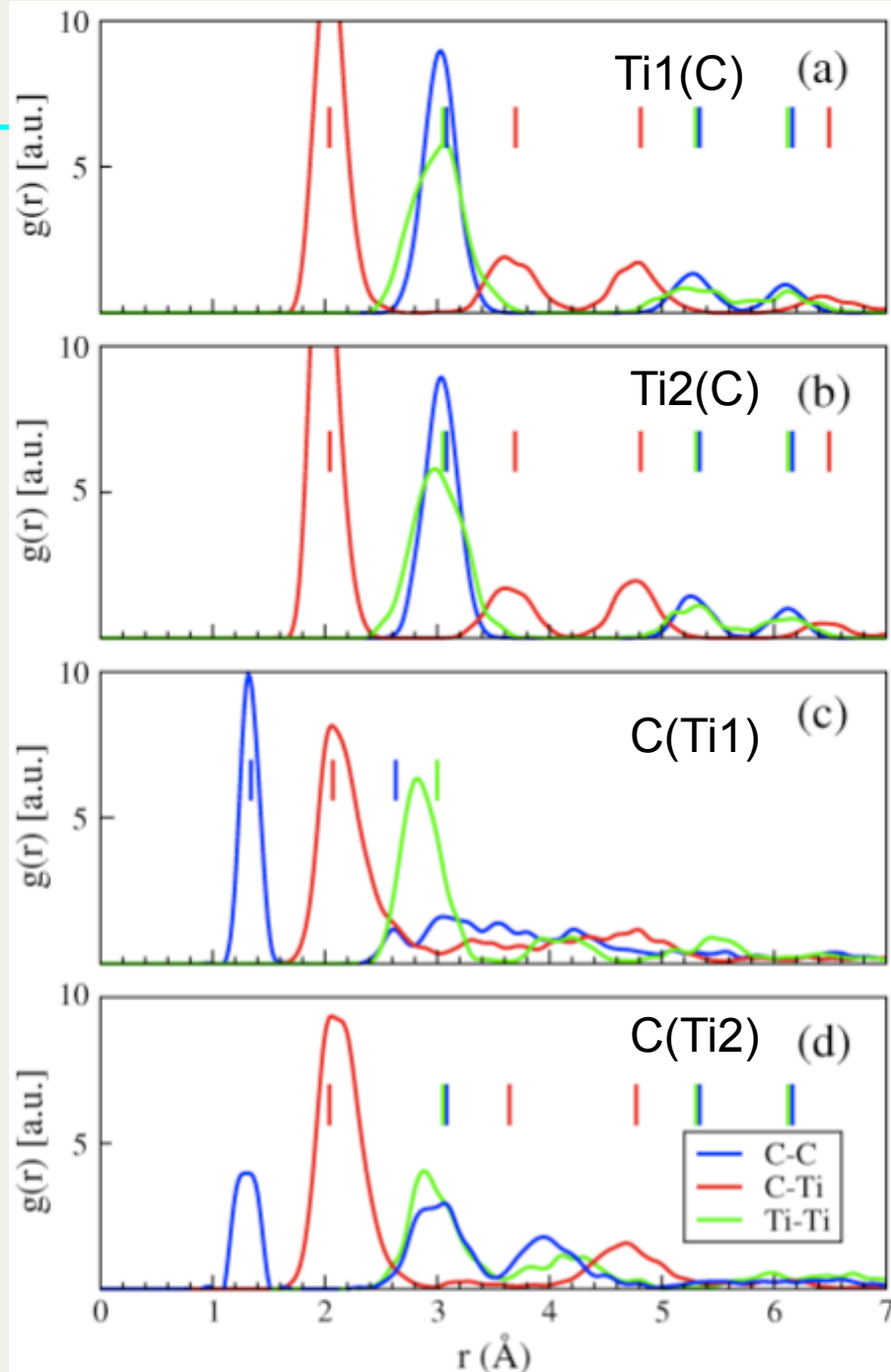
Si subsurface layer induce disorder in Ti top layer

Atomic structure at high temperature: 1400 °C

Well defined peak for C-Ti and C-C,
less defined Ti-Ti.
Basically crystalline order

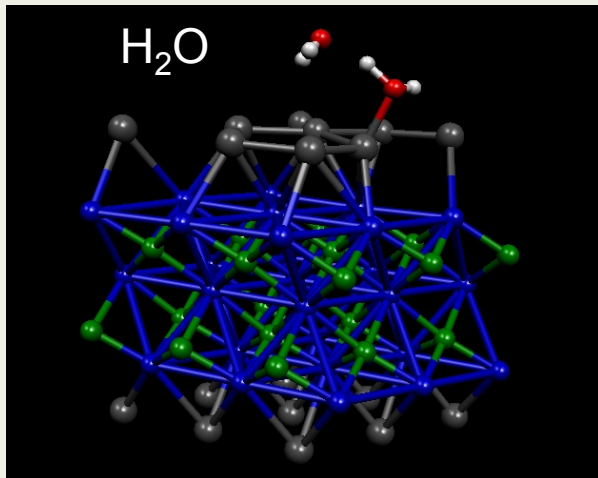
Short range order in C-C and C-Ti

C-C bond formation induced by temperature

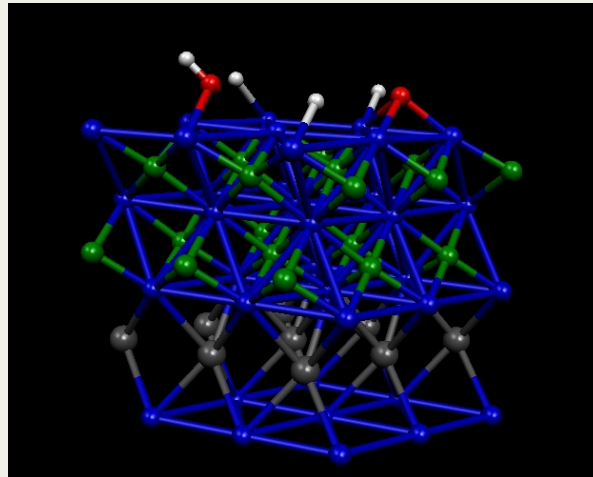


Surface oxidation by H₂O and O₂ at 0K

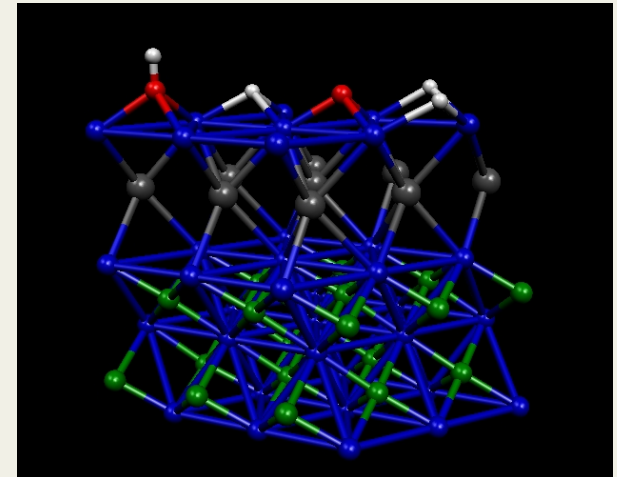
Si(Ti2)



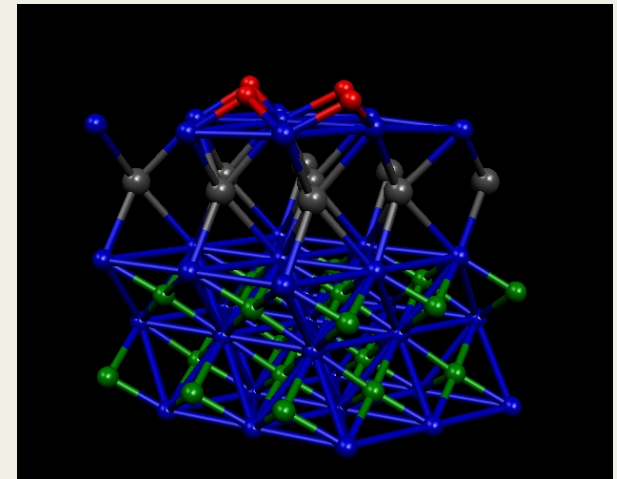
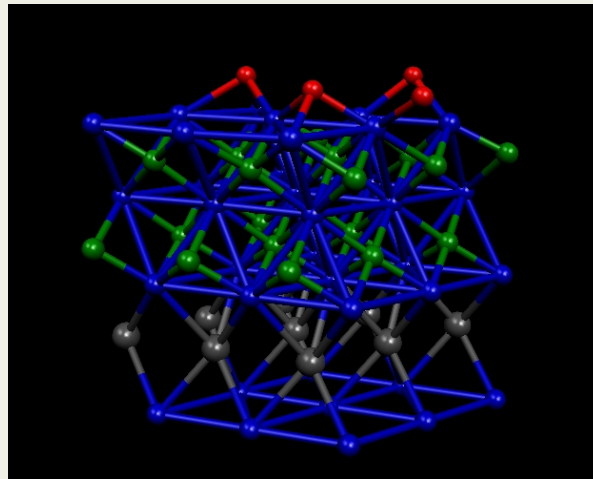
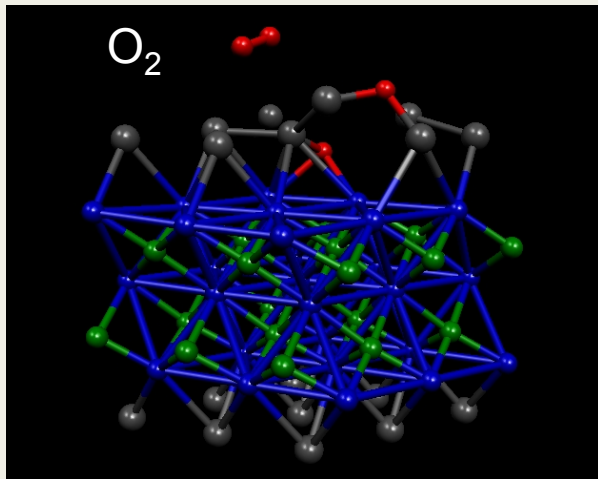
Ti1(C)



Ti2(Si)



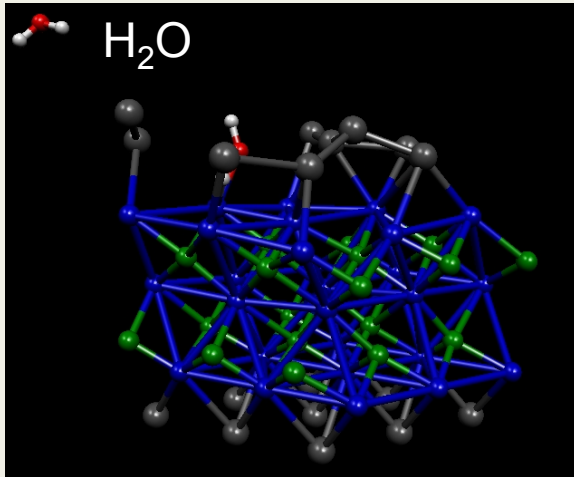
O₂



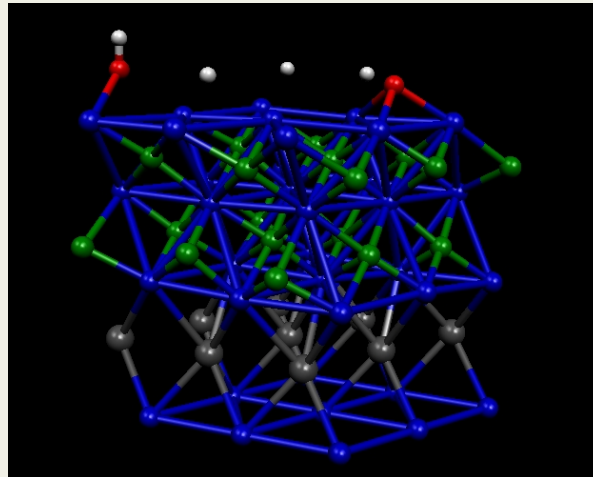
- ✓ Two molecules of the same species are released at 3 Å from the surfaces without constraints.
- ✓ On Si(Ti2), H₂O do not react with the surface while O₂ forms SiO species after dissociation. On Ti1(C) and Ti2(Si) both molecules instantaneously dissociate after reaching the surfaces forming a TiO toplayer.

Surface oxidation by H₂O and O₂ at 1400 °C

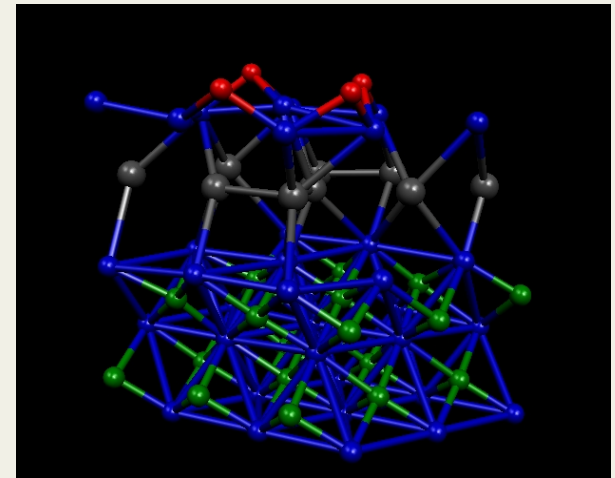
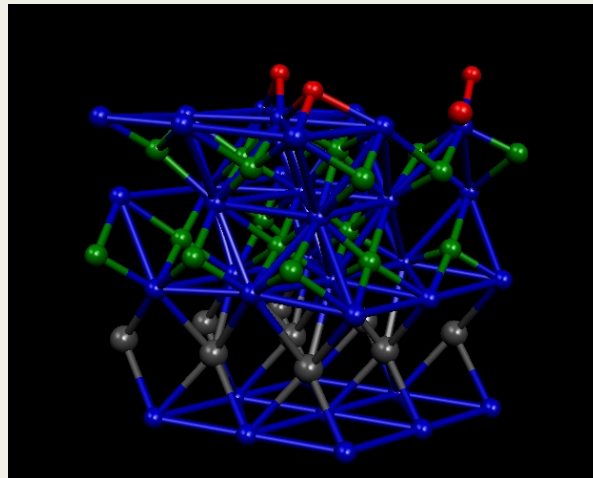
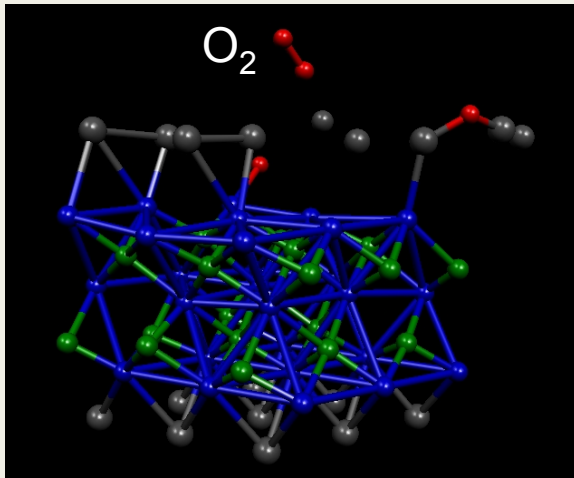
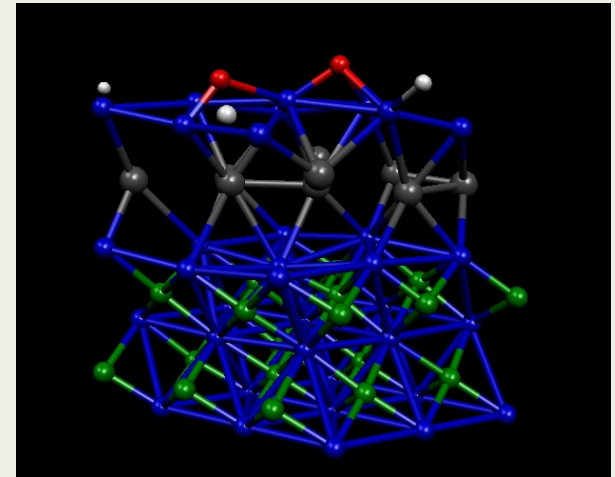
Si(Ti2)



Ti1(C)



Ti2(Si)



- ✓ Snapshots of MD simulations at 1400 °C for H₂O and O₂ molecules reacting with the surfaces.
- ✓ Si-O species are removed from the Si(Ti₂) surface after O₂ dissociative reactions. Meanwhile,
- ✓ on Ti₂(Si) and Ti1(C), a highly stable TiO toplayer are formed. Oxygen diffusion inside the material is not observed.

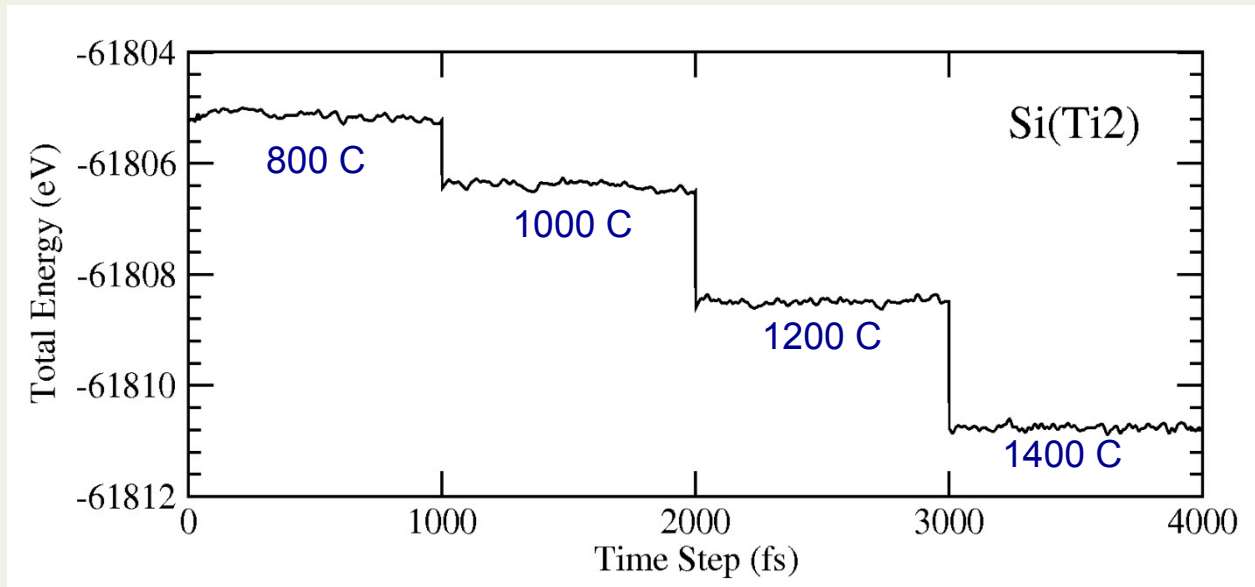
Conclusions

- ✓ Si(Ti₂) and Ti₂(Si) have the lower surface energy at 0K suggesting stable surfaces.
- ✓ Ti₁(C) and Ti₂(C) although with higher surface energy, show a remarkable thermal stability, preserving their structure up to 1400 °C.
- ✓ The common association of high surface stability with low surface energy at 0K cannot be extrapolated at higher temperatures.

Thank you!

Stability at High Temperature: MD simulations

- ✓ Constant-temperature ab initio molecular dynamic simulations (NVT ensemble).
- ✓ Asymmetrical slab with 8-9 monolayers, fixing the two bottommost.
- ✓ $T = 800, 1000, 1200,$ and 1400 °C, over 4 ps with a time step 1 fs.



Total energy variation with temperature for the Si(Ti2) surface under constant thermalization at the chosen temperatures during 1 ps.